

Annual Report 2009-10

CENTRE FOR ADVANCED STUDIES in the Arts and Social Sciences

Developing excellent interdisciplinary research, engaging all career stages and welcoming external partners

Annual Report 2009-10

The Centre for Advanced Studies in the Arts and Social Sciences (CAS) was launched in January 2010.

CAS has grown out of the success of the Humanities & Social Sciences Research Centre (HSSRC) which was established in 1995. HSSRC, and now CAS, is supported through the University of Nottingham's Priority Group scheme, contributions from constituent Schools, the Research and Knowledge Transfer Board and the Higher Education Innovation Fund.

This report focuses on the activities of the HSSRC research development team for the full academic year 2009-10 and the first seven months of CAS activity (January to July 2010).

The CAS team includes the former HSSRC team (Research and Business Development staff and Manager, Funding Officers and Administrator) and a newly formed Executive which includes the Director, Deans of Arts, Social Sciences and Graduate School and the Research Manager. The current CAS Executive is as follows: Prof. Alan Ford, Prof. Sarah O'Hara, Prof. Claire O'Malley, Prof. Judith Still and Sally Bowden. The CAS Executive reports to the RKT Board.

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Summary

CAS has established itself as a high profile centre of international excellence in the Arts and Social Sciences – developing excellent interdisciplinary research, engaging all career stages and providing a gateway for external partners. CAS provides a mechanism for identifying emerging areas of research strength and aiding the development of critical mass in these areas through the strategic investment of its funds and through external partnership opportunities.

Headline Activities (first 7 months of activity: January – July 2010)

Increases in new awards made - CAS is contributing significantly to increases in the level of new awards received over the year (an increase of £1.3 M, at time of writing, which represents an increase of 40% on 2008-09 awards).

Growth in research capacity - 8 major research networks are supported by CAS: Well Being (Prof. Cox); Children and Childhood (Prof. Becker); Free and Unfree labour (ISOS, Prof. Hodkinson); Health Humanities (Profs. Carter and Crawford); Digital Humanities (Profs. Hoskins and Pearson); Moving Image Studies (Prof. Pearson and Dr Sergi); Pervasive Media (Prof. Benford) and Silk Road Studies (Prof. Henderson). In support of the networks CAS has invested in 7 short visiting fellowships and 9 seed corn projects. The Pervasive Media network successfully won an EPSRC Feasibility Account at the beginning of the period (PI Benford).

Attracting and retaining high quality early career researchers – CAS has contributed to Nottingham's success in securing high numbers of Leverhulme post-doctoral fellowships. Nottingham secured 7 pdfs in 2010 (of which 4 were in Arts and SS) giving the University joint 2nd place with Cambridge and Kings College London when ranked against the Russell Group. One successful candidate was a CAS post-doctoral bursary holder. CAS has also secured external funding for 2 additional post-doctoral bursary awards. CAS has worked with the Graduate School to secure 8 AHRC-funded Collaborative Doctoral Awards and 5 ESRC CASE studentship awards – these results also giving Nottingham top ranked positions nationally (1st for CASE ESCR and 3rd for AHRC CDAs).

Building external partnerships: the CAS team have helped establish significant external partners over the year. These include regional, national and international bodies such as the British Film Institute, British Library, Dolby, East Midlands Media, History of Advertising Trust, National Galleries of Scotland, Papplewick Pumping Station, Royal Opera House, Skywalker Ranch (Lucas Films), Stonebridge Trust (Thoresby Estate) and the UK Film Council.

Nottingham's reputation – CAS organised 15 academic lectures and seminars targeting internal and external, national and international audiences (reaching over 1,000 people in the period); 2 major public events associated with the CAS Highfield Fellows programme (New Art Exchange: Perivolaris and Nottingham Contemporary: Nemerov) and coverage in *Times Higher* for a third Highfield Fellowship (*THE* 28.06.10: Paletz). CAS is now part of a series of national and international networks of centres, which raises the profile of the University and the activities of the academics that engage in CAS programmes.

Key objectives for 2010-11 - the first 7 months of CAS have seen a step change in our activities. In 2010-11 we wish to build on our early successes and drive the research networks and new and potential priority group areas forward. We aim to broaden our reach to achieve greater internationalization of the research environment (including collaborations with Ningbo and Semenyih campuses) and increase external engagement opportunities with innovative partnerships.

Director's Report 2009-10

The academic year 2009-10 has been a very important year in our development with the **internal launch** of the Centre for Advanced Studies in the Arts and Social Sciences (CAS) on 26th January 2010, under the University's Priority Group Programme – this was an exciting event with an audience that filled the Senate Chamber, introduced by PVC Saul Tendler (vice David Greenaway). CAS was set up as one of four underpinning priority groups, aiming to support a growth in research capacity across the Faculties of Arts and Social Sciences. While 2010-2011 will be the first full year of CAS, it feels to me as if we have been a Centre for years already, and we have certainly achieved a high profile both within the University, and outside it, incredibly quickly. However, there is a need to consolidate as well as to develop. Some colleagues, even in the Social Sciences and the Arts, may still not be aware of our role, and while we could not cope if every single member of staff across our constituency (roughly 40% of the University) came knocking on our door, we do want everybody to know that door is there – still in the Orchards as I write. (We hope to have news to share about our new building in the course of this academic year.) We intend to start this academic year with fresh leaflets and posters, and this annual report, in a shorter as well as longer version, is part of that campaign to make ourselves more visible. We launched a new website last year, but want to enhance it – in particular with an internal workspace for advice on grant applications – but also with more material about the projects we've sponsored and events we've run.

Our external profile, as well as benchmarking, has been helped by membership of various international networks for Centres of Advanced Study, including the Consortium of Institutes of Advanced Studies, the Consortium of Humanities Centers and Institutes, the European Consortium on the Humanities and the Network of Expert Centres. We have joined with members of the European consortium in an Erasmus Life-Long Learning Programme, funded by the European Commission and hosted by Utrecht University. The Network of Expert Centres was set up to support Digital Humanities, and to that end Nottingham is planning to host the annual Digital Resources in the Humanities and Arts conference in 2011.

With respect to **funding**: the University Research and Knowledge Transfer Board agreed last year to support our additional activities as a Centre or underpinning Priority Group (above those that the HSSRC has been successfully pursuing for some years) to the tune of about £100,000 per annum for five years. So far we have kept to budget... This new funding seemed generous, and yet very challenging if we were to continue to support grant capture across such a large section of a research-intensive University as well as developing strategic priorities. The excellent news that emerged last term was that two further Priority Groups, focused on research priorities we had been trying to develop, were to be funded independently by RKT Board. These are '**Integrating Global Society**' to be led by Jon Beaverstock (supported by our RBDO Paula Gurteen) and '**Science Technology and Society**' to be led by Paul Martin (supported by our RBDO Rosamund Aubrey). CAS will obviously be working closely with these two groups and their champions in the future, and their independent funding means that a little more will be available for other priorities. In addition some **HEIF** funding (over £20,000) was channelled through us at the end of last year, and we hope to be able to distribute a similar sum this coming year to support external partnerships. Everybody in British Universities is acutely aware of the tough national and international economic climate – and waiting in fear and trembling for the outcome of the government's Comprehensive Spending Review. At Nottingham the message seems clear that, in spite of the difficult times ahead, we need to go forward in developing excellent research both because that is the right

thing to do and because a more selective and competitive environment will favour the strongest.

The mission of CAS is to strengthen some of the interdisciplinary research areas at Nottingham that are already producing excellent work across all career stages, and to help researchers develop collaborations across Nottingham and also with external partners nationally and internationally. To that end, we need to build our **profile** and our reputation – and we would ask colleagues whom we have supported to remember to send us information for our website, and to help us to help the community by inviting us to come and talk to Schools or research groups. In 2009-10 we were delighted to be able to do presentations to the Schools of History, Law, and Sociology and Social Policy, and the Departments of Art History and Music. We will continue to support research and knowledge transfer bidding across the piece as far as we can, and will continue to run events about grant capture opportunities at least as often as in the past – our 2009-10 events, including presentations for Early Career Researchers and talks focusing on Knowledge Transfer, are listed in the appendix. A CAS Advisory Group has been established, with representation from across the two Faculties, Graduate School and Library to enable a communication channel between CAS and its constituent Schools, and in 2010-11 we hope to set up a Board with external members. In addition we are now supporting some events relating to very high profile speakers to support research priorities – in the past semester we have hosted Luce Irigaray (CNRS, Paris) for a week-long graduate seminar on her work, Toril Moi (Duke University) for our Annual Lecture, and Professor Robert C Allen, University of North Carolina-Chapel Hill, Dr Abdul Al-Qaysi, University of Baghdad, Professor Aijuan Chen, Xian University of Technology, China, Professor Alexander Nemerov, Yale University, Professor David L Paletz, Duke University, Dr John Perivolaris, an independent artist and Dr Javier Saavedra, University of Seville as Highfields Research Fellows. In the term to come we are already planning 'Wet behind the Engineers', the first annual Papplewick Pumping Station lecture, to be given by Dr Adam Hart Davis, and visits from 2010 Highfields Fellows Professor Meiyang Wang, Chinese Academy of Social Sciences and Commander Manshu Xu from the Chinese National Defense University.

This year has been a relatively stable year in terms of **staff** retention. In September 2009 Paula Gurteen returned to us from maternity leave and Lisa McCabe joined us to support research and business development activities for the Arts (replacing Sally Bowden who had been appointed to a new post in CAS as Research Development Manager for Arts). Sadly we lost Phaedra Stentiford in the Funding Office in December 2009 due to personal circumstances. Our thanks go to Laura Pearson for coping single-handedly until we could welcome Karen Attreed to the team in July as our new Assistant Funding Officer.

Activities in 2009-10

This annual report, our first as CAS, offers an account of our activities over the year with particular reference to the Key Performance Indicators laid out as part of the CAS Business Case. The *Strategic Highlights* section details how the CAS priority group has begun to build research capacity in our strategic research areas, successfully drawing academics together from across a broad range of disciplines. The *Underpinning the Research Environment* section shows how the CAS team continue to help maintain and improve both the quality and quantity of research activity across the two Faculties as a whole.

Headline activities include:

- The provision of a key opportunity for early career researchers through the post-doctoral bursary scheme: five early career researchers were awarded a bursary, one of whom has already secured a **Leverhulme Post-Doctoral Fellowship** (Dr Lisa Mckenzie, CAS Post-doctoral bursary holder transferring to School of Sociology and Social Policy), another a **prestigious book contract** (Dr Alice Hall, Palgrave Macmillan, Title: *Disability and Modern Fiction: Faulkner, Morrison, Coetzee and the Nobel Prize for Literature*).
- **Success in securing external funding from Renaissance East Midlands** to fund two additional post-doctoral bursaries: these bursaries encourage the awardees to engage and work with a range of museums and archives across the region creating opportunities for further research collaborations and engagement.
- The development of the **seven major research networks**: Well Being; Children and Childhood; Health Humanities; Digital Humanities; Moving Image Studies; Pervasive Media and Silk Road Studies. The Pervasive Media network successful won an **EPSRC Feasibility Account** at the beginning of the year (PI Prof. Steve Benford) which enabled a series of activities to engage Arts and Social Science scholars with Computer Scientists, supported by the CAS team.
- The support of **nine research development activities** through the Research Development Fund to pump-prime key research activities: these included funds for six networking events and three pilot projects focused on developing major interdisciplinary research projects.
- The support of **seven short visiting fellowships** to galvanise research ideas and activities in Nottingham. These included:

| Highfield Fellows | Affiliation | Visit |
|----------------------------------------------------|------------------------------------------------------------------------------------------------|-------------------|
| Professor Robert C Allen | American Studies, History, and Communication Studies, University of North Carolina-Chapel Hill | 17-20 May |
| Dr Abdul Gffar Abdul Jaffar Razzaq Al-Qaysi | Psychology, University of Baghdad | 21 June - 21 July |
| Professor Aijuan Chen | Xian University of Technology, China | 1-31 July |
| Professor Alexander Nemerov | History of Art and American Studies, Yale University | 26 July -1 August |
| Professor David L Paletz | Political Science, Duke University | 28-30 June |
| Dr John Perivolaris | Photography, Independent Artist | 12 April -11 May |
| Dr Javier Saavedra | Experimental Psychology, University of Seville | 1-31 July |

Two of the fellowships resulted in public exhibitions and events: i) **New Art Exchange: Retratos de Independencia: Bicentennial Portraits of Latin American Women in England** (Perivolaris) and ii) **Nottingham Contemporary**: a major

contribution to the public programme associated with the Diane Arbus exhibition (Nemerov). Paletz's visit resulted in coverage of his visit to CAS by **Matthew Reisz in the *THE*** (28.6.10).

- Support for **5 day masterclass with Professor Luce Irigaray**, *Centre National de la Recherche Scientifique*. Luce Irigaray is a distinguished professor of Linguistics, Psychoanalysis and Philosophy, whose groundbreaking work in the field of gender has had an enormous global impact. Professor Irigaray's visit attracted early career researchers from across the world and ended with a public lecture drawing audience members from as far afield as Edinburgh and Exeter.
- Success in **securing places for two Nottingham doctoral students** on an intensive two week programme at the Centre for the Humanities School of Critical Theory at the University of Utrecht. The programme, entitled 'G-local Cosmopolitanism: the Social responsibility of the Academic, the Artist, and the Media' will run from 17 January - 5 February 2011.
- The CAS events programme in this first period included **fifteen academic lectures and seminars** and was received well by their audiences:

'Just a note to thank John Fagg and Mark Rawlinson for organising and hosting Alex Nemerov's current visit as CAS Visiting Fellow and to thank CAS for enabling it. Alex's talk in Nottingham Contemporary last night on his father (poet Howard Nemerov) and aunt (Diane Arbus) was just an outstanding academic and artistic performance in its own right, eloquent, informative and moving. The lecture theatre was packed and in terms of KT this was a real meeting of town and gown...' **Professor Pete Messent**, American and Canadian Studies.

- **Research development events** included three early career events, five scheme-specific events (including 3 EU-funding targeted events), 2 events raising awareness on how impact can be written into funding bids and 2 events on best practise in research grant preparation. This programme was complemented by individual researcher meetings and School/Departmental visits.
- **Partnership engagement:** the CAS team have helped established working relationships with significant external partners over the year. These include regional, national and international bodies such as the British Film Institute, British Library, East Midlands Media, History of Advertising Trust, National Galleries of Scotland, Papplewick Pumping Station, Royal Opera House, Stonebridge Trust (Thoresby Estate) and the UK Film Council.

The first seven months of CAS have seen a step change in our activities and have presented a significant challenge to the CAS team to provide a balance of both research support for all across the two Faculties, and targeted strategic research development aligned to key themes. Thanks must go to the research development team who have worked extremely hard in this period of transition. Particular thanks must also go to the CAS Executive who have contributed significantly to the development of CAS, and to PVC Prof. Bob Webb, Dr Richard Masterman and Mike Porter (FIN) who navigated the early stages of CAS's formation. Further thanks are also offered to Roger Brooks, Helen Hurman, and Paul Cartledge for their indispensable support and to Pascale Vermassen and Robert Sampson for their continued hard work.

Strategic highlights - the first seven months of activity

The CAS programme is organised around two research themes which reflect current research strengths across the two Faculties and external funding opportunities. In its launch year and first full academic year (2009/10 and 2010/11) the CAS themes are:

- **Well-being** - incorporating Children and Childhood; Sex and Sexed Inequality; Finance and Society; Health Humanities; the Culture of Work and Living with Environmental Change
- **Translating Cultures** - incorporating Migration; Analysis of Democratic Culture; Post-conflict Cultures; Security; Science Technology and Society; Connected Communities and Globalisation

In its first seven months CAS has used three types of research support mechanisms to assist the development of critical mass in key research areas, emerging research areas and external engagement. These have included seven post-doctoral bursaries (two of which were externally funded), seven short visiting fellowships (of which five were from outside Europe, one European and one UK-based) and ten research development fund awards.

CAS THEME: WELL-BEING

- Academic lead - Prof. Tom Cox (I-WHO).
- Post-doctoral bursary was awarded to Dr Lisa McKenzie (Sociology). As a result Lisa now holds a 2 year Leverhulme Post-Doctoral Fellowship.
- Funding bids: DWP bid for National Centre for Working Age Health & Wellbeing (Cox, Griffiths – led by Birmingham) submitted.¹ ESRC bilateral bid on pupil and teacher wellbeing submitted (Gu, Day). Interdisciplinary bids on teacher wellbeing (Gu, Day, Cox). Target funding: FP7, ESRC.

Within Well-being research groups include:

- **Children and Childhood** –
 - Academic lead - Prof. Saul Becker (Sociology).
 - Research Development Funds awarded to support a 2-day internal sandpit event to facilitate novel research collaborations and explore funders, Pitchford (Psychology).
 - Funding bids: (see above) planned sandpit event aims to result in draft proposals for a range of funders.
- **Health Humanities** –
 - Academic leads - Prof. Ron Carter (English) and Prof. Paul Crawford (Nursing).
 - 3 post-doctoral bursaries awarded: Bralesford (Am/Can), Hall (English) and Wells (English) and two Highfield Fellowships - Dr Javier Saavedra from the University of Saville and Dr Abdul Al-Qaysi from Baghdad University.
 - Funding bids: AHRC award (ongoing), target fund Leverhulme project grant and AHRC research grant.

¹ This bid was shortlisted down to 1 of 2 bids but due to government spending cuts the competition was closed without an award being made.

CAS THEME: TRANSLATING CULTURES

Within Translating Cultures research groups include:

- **Digital Humanities –**
 - Provisional academic leads – Profs. Roberta Pearson and Andrew Hoskins (Modern Languages).
 - Highfield Fellowship awarded to Prof. Robert Allen of UNC-Chapel Hill and Dr John Perivolaris of Nottingham Trent University.
 - Research Development Funds awarded to Turville-Petre (English), Bekou (Law)
 - Funding bids – target funds include AHRC’s new programme on Digital Humanities (to be released in 2011) and RCUK Digital Economy programme and TSB Creative Industries programme.

- **Moving Image Studies –**
 - Academic lead Prof. Roberta Pearson (Film and TV Studies)
 - CAS has supported external engagement with key partners - British Film Institute, EM-Media, History of Advertising Trust, Media Archive for Central England and UK Film Council.
 - Highfield Fellowship awarded to Prof. David Paletz of Duke University. Research Development Fund award to support development of interdisciplinary network, Pearson (Film and TV Studies). Commitment to support Industrial Fellowship in 2011/12 to engage with major US film makers.
 - Funding bids – TSB Industrial CASE Studentship in partnership with EM-Media (PI Steve Benford), preparation of bid to HEFCE, TSB Creative Industries programme.

- **Pervasive Media –**
 - Academic lead – Prof. Steve Benford (Computer Sciences).
 - Funding bids – EPSRC Feasibility Account (successful).
 - Programme of interdisciplinary activities including Makefests, Residencies and Troubadours, Sandpit event and research pilots encouraging exchange and collaborations between Arts, Social Sciences and Computer Sciences.

- **Silk Road Studies –**
 - Academic lead – Prof. Julian Henderson (Archaeology).
 - Research Development Fund award to support development of interdisciplinary network and international partnerships.
 - Funding bids – target funds include AHRC Standard Mode Research Grant and Leverhulme Project Grant.

EMERGING THEMES:

WELL-BEING:

- **Free and unfree labour –**
 - Academic lead - Prof. Steve Hodgkinson (Classics).
 - An interdisciplinary research group has been drawn together across the two Faculties in support of a two stage partnership with the University of Trier (Germany) to develop an international doctoral training centre for the study of free and unfree labour.
 - Funding bids - German Research Foundation (DFG), target funds include Erasmus Mundus DTC bid in 2013.

- **Cultures of Work –**
 - Academic lead - Dr Sarah Badcock (History).
 - Research partnership with the Galleries of Justice, which holds key national archives on youth offending, labour and training within prisons and probation services. Target partnerships with Catch 22 (formerly the Rainer Foundation) and the Probation Service.

Underpinning the Research Environment

CAS activity is focused on helping to improve excellence in Research and Knowledge Transfer in the Faculties of Arts and Social Sciences.

To this end seven key objectives have been identified:

1. improving grant capture;
2. promoting interdisciplinarity, serving as a bridge between researchers inside and outside the Faculties;
3. driving forward the internationalisation of the research environment (including collaborations with Ningbo and Semenyih campuses);
4. providing leadership on Grand Challenges and strategic themes, including the growth of future Priority Group areas;
5. increasing the adoption of research-led KT and widening the potential impact of research through research partnerships with external agencies;
6. integrating PGRs and ECRs into the Faculties' research culture;
7. transforming the standing of Nottingham's Arts and Social Sciences outside the University, giving the University a reputational advantage when it comes to funding council and other bids.

To achieve these objectives CAS aims to provide a balance of structural support for all academics across the two Faculties, and targeted strategic research development. The key performance indicators (KPI) to measure the success of CAS activities are set out below.

KPI 1) Increase the numbers of high quality grant submissions across the two Faculties, benchmarked against 2008/09 levels.

In 2008-09 the total FEC value of submissions was £53,006,912 (potential income of £36,646,684) with 324 applications. In 2009-10 a total of 382 applications were submitted with a FEC value of £73,437,384 (potential total income of £54,244,820). This represents an 18% increase in the number of applications made and a 39% growth in the FEC value of those applications.

Table 1 summary of this year's submissions activity.²

| | 2007-08 | 2008-9 | 2009-2010 |
|-----------------------------------------|----------------|---------------|------------------|
| FEC Value of submissions | 51,006,954.64 | 53,006,911.81 | 73,437,383.93 |
| Total potential new award income | 38,638,339 | 36,646,684 | 54,244,820 |
| | | | |

KPI 2) Maintain and improve on grant submission success rates and research income benchmarked against internal performance in 2008/09, and Nottingham's performance against Russell Group Competitors.

In 2008-09 the overall HSSRC success rate on submissions was 25.6%, with 4.6% of results still pending. This represented £3,236,157 of new awards in the year. In 2009-10 the overall internal success rate (as known on 18th August 2010) is 23% with 27.5% of results still pending. The interim total value of new awards for 2009-10 is

² These figures relate only to awards submitted between Aug 1st 2009 and July 31st 2010 and are not reflective of the entirety of Arts and Social Science submissions across the university, a proportion of which may have been sent without CAS input.

£4,555,817. This represents an additional £1.3 m of new awards, an increase of 40% on the 2008-09.

In terms of research income and research margins there has been a growth in income in both Faculties since 2008-09 but a decrease in margin in the Arts (see table 2 below)

Table 2: Research Income and Margin by Faculty

| Faculties | Income | | Margin | |
|-----------|-----------|-----------|-----------|-----------|
| | 2008-09 | 2009-10 | 2008-09 | 2009-10 |
| Arts | 1,597,000 | 1,680,000 | 437,000 | 394,000 |
| SS | 6,905,000 | 7,308,000 | 1,927,000 | 2,131,000 |

The split between large (above £1 M), standard (between £100 K and £1 M and small grant (below £100 K) activity is set out in the charts 1 and 2 below:

Chart 1 – 2008-09

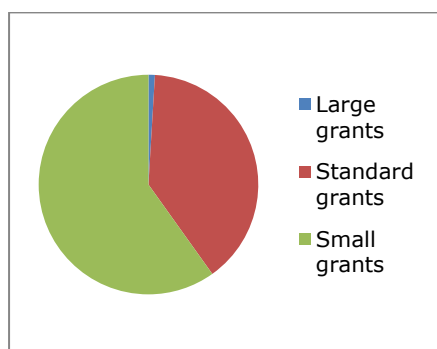
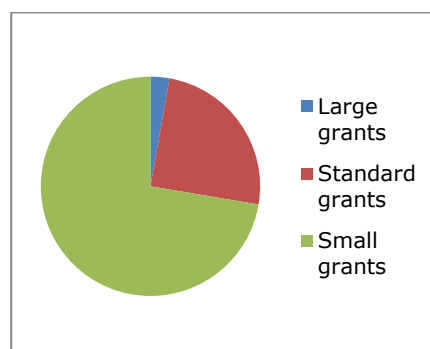


Chart 2 – 2009-10



Success rates on individual schemes -

In terms of individual funders and schemes Arts and Social Sciences did particularly well in the following funding schemes in 2009-10:

AHRC CDA and ESRC CASE studentships – the CAS team assisted with the development of an increased number of external partnerships and supported the application procedure for a record number of CDA and CASE applications. Working with the Graduate School, Nottingham’s track-record of high success rates for these schemes has been maintained despite the increase in the number of submission. In 2008-09 the Faculties were awarded 7 ESRC CASE out of 24 applications and 2 AHRC CDA awards out of 11 applications. In 2009-10 the Faculties were awarded 5 ESRC CASE out of 18 submissions (28% success rate against a national average of 19%), and 4 AHRC CDAs out of 8 submissions (50% success rate against national average of 40%). This success gives us joint first place (with Leeds) in terms of the number of ESRC CASE studentships awarded in 2010, and third place in terms of the number of AHRC CDAs awarded. Leeds were first with 6 studentships awarded, and Edinburgh and York joint second with 5 each.

AHRC Research Fellowships – since this new scheme opened in September 2009 the team have supported 14 bids. Of those the outcomes of 10 are known with 6 successes and 4 unsuccessful giving us a success rate of 60%. The successes include 2 early career route bids.

British Academy Research Development Awards – in 2008-09 the HSSRC team supported 6 submissions of which 2 were successful. In 2009-10 6 further submissions were made again with 4 shortlisted and 3 eventually funded giving us a 50% success rate against the national average of 11% (330 applications with 37 awarded).

ESRC First Grants – in 2009-10 the team supported 5 First Grant applications of which 3 were shortlisted and 2 were eventually funded (Gathergood and Magdalinos in Economics). The national success rate for the scheme is expected to be below 13%.

Success rates with individual research councils –

AHRC – in 2008-09 the reported success rate for Nottingham was 31% giving the University joint third place (with Warwick) in terms of success rates when compared to the Russell Group. This success rate figure relates to the period 1st November 2007 – 31st October 2008. In 2009-10 Nottingham’s success rate for the same range of funding schemes dropped to 13%, putting Nottingham 18th compared to the Russell Group. This dip in the success rates represents activity for the period 1st November 2008 – 31st October 2009. Since October 2009 the team have noted greater success with individual schemes and Nottingham is now on course for an improved ranking in 2010-11, although total new awards will be limited by the closure of the AHRC’s schemes for almost 4 months between February and June 2010.

ESRC – in 2008/09 the reported success rate for Nottingham was 20%, a marked improvement on the 11% success rate recorded for 2007/08. The success rate for 2009/10 has not been made available at time of writing but the data on awards released by the ESRC show that, compared to our Russell Group competitors, Nottingham is joint 4th (with Birmingham and Oxford) in terms of the number of awards received, and 12th in terms of the total value of new awards. Table 3 sets out the data on new awards currently available from the ESRC:

Table 3: new awards made between 1st April 2009 and 31st March 2010

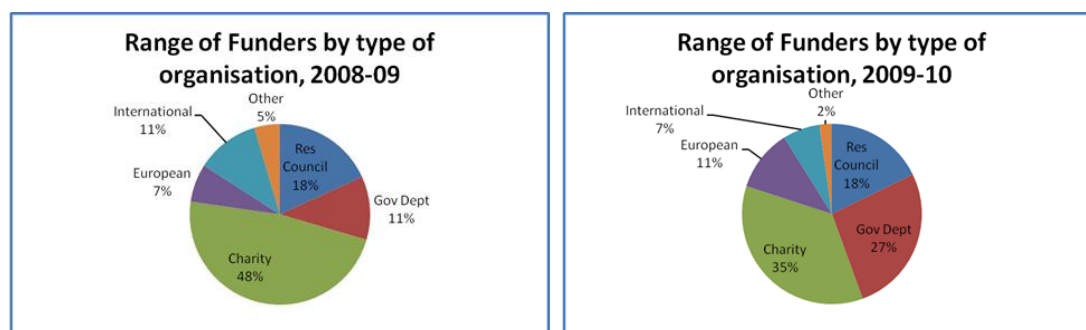
| | Standard Grants & Fellowships | Small Grants | Total No of Awards | Value (80%) |
|-------------------|-------------------------------|--------------|--------------------|-------------------|
| UCL | 7 | 3 | 10 | 3,053,338.00 |
| Oxford | 5 | 3 | 8 | 2,983,664.00 |
| Edinburgh | 4 | 6 | 10 | 1,990,487.00 |
| Glasgow | 5 | 2 | 7 | 1,728,123.00 |
| Manchester | 3 | 8 | 11 | 1,718,148.00 |
| Bristol | 4 | 2 | 6 | 1,693,719.00 |
| Cambridge | 2 | 2 | 4 | 1,021,721.00 |
| Birmingham | 2 | 6 | 8 | 937,139.00 |
| Cardiff | 3 | 2 | 5 | 881,168.00 |
| Warwick | 2 | 2 | 4 | 802,925.00 |
| Sheffield | 1 | 5 | 6 | 766,809.00 |
| Nottingham | 1 | 7 | 8 | 754,300.00 |
| LSE | 3 | 2 | 5 | 718,384.00 |
| Queen's Belfast | 3 | 1 | 4 | 677,062.00 |
| King's College | 2 | - | 2 | 405,266.00 |
| Imperial | 2 | 1 | 3 | 404,029.00 |
| Southampton | 2 | 1 | 3 | 387,960.00 |
| Newcastle | 3 | - | 3 | 378,942.00 |
| Leeds | 1 | - | 1 | 289,052.00 |
| Liverpool | - | 1 | 1 | 34,572.00 |

It has previously proved difficult to analyse success rates for Nottingham due to a lack of internal data on submissions from across the different Faculties beyond CAS’s remit. AAM should assist in future analysis.

KPI 3) Increase diversification of research funding across the two Faculties, benchmarked against 2008/09 levels of diversification

In 2008-09 the HSSRC supported applications to 44 different funders. In 2009-10 the team supported bids to 46 funders and was also successful in attracting direct funding for the CAS post-doctoral bursaries programme from an additional funder – Renaissance East Midlands (Leicester City Council).

Research Councils account for 18% of funding bids in both years with bids to charities and government departments making up over 50% (see charts 3 and 4 below)



KPI 4) Growth in the number of high calibre interdisciplinary research networks and potential future Priority Group areas developed from within the Faculties, benchmarked against 2008/09 levels of activity.

In 2008-09 the HSSRC team supported the development of three key interdisciplinary networks: Migration, Children and Childhood and the Pervasive Media Group. In 2009-10 the team have continued to support these three networks (resulting in a successful EPSRC Feasibility Account award for the Pervasive Media Group – now being run in partnership with Computer Sciences) and have significantly contributed to the development of the interdisciplinary networks Well-being, Health Humanities, Free and Unfree labour (ISOS), Digital Humanities, Moving Image Research Group (proposed as a University Priority Group, result pending), Silk Road Studies, Science Technology and Society (University Priority Group) and Integrating Global Societies (University Priority Group).

KPI 5) Growth in the number of significant European and international research collaborations and partnerships, benchmarked against 2008/09 levels of activity.

In 2008-09 the HSSRC supported a total of 24 EU and international applications of which 1 was worth more than £1M in potential value and 5 were above £400K in potential value.³ In 2009-2010 the team have supported 27 applications of which 6 are over £1M in value and 4 over £400K in value.

³ The HSSRC Annual Report for 2008-09 reported the team's work to support 10 EU bids. This represents all bids above £200K from the 24 EU and international bids worked on in this year.

KPI 6) Growth in the number of research staff and research leaders actively bidding for research funding across the two Faculties, benchmarked against 2008/09 levels of activity.

There are over 700 research active staff across the two Faculties. In 2008-09 196 different academics acted as the principal investigator on external research bids. In 2009-10 this number rose to 252, an increase of 28.6%.

In 2009-10 the CAS team have worked with the academic community to identify research leaders for key areas. For example, Prof. Tom Cox will join the CAS team as the research champion for the Well-being theme in 2010-11.

KPI 7) Maintain and increase the number of significant external partnerships across the two Faculties, benchmarked against 2008/09 levels of activity.

In 2008-09 the HSSRC established links with a range of regional partners on behalf of academics in the Faculties and undertook a survey of the external partnerships that exist across the Faculty of Arts. In 2009-10 levels of activity increased with a significant partnership established between the British Film Institute and the newly formed Moving Image Research Group. The partnership has resulted in a range of benefits including: i) greater engagement with film heritage and film industry organisations, establishing an important network of contacts; ii) funding bids including the preparation of an outline bid to HEFCE Strategic Development Funds⁴ and a TSB CASE studentship bid led by EM-Media⁵ and iii) the development of the digital archive strand within the Horizon Digital Economy Hub programme.

New external contacts made by the CAS team in the year include: British Library, EM-Media the regional screen agency for the East Midlands (TSB Industrial CASE award submitted), History of Advertising Trust (joint Horizon-funded pilot now planned), National Galleries of Scotland (partnership bid in preparation), Papplewick Pumping Station (collaborative projects underway), Royal Opera House, Stonebridge Trust (Thoresby Estate, partnership proposals in preparation) and the UK Film Council.

KPI 8) Maintain and increase the number of externally funded post-doctoral fellowships and ECR-route research bids, benchmarked against Russell Group competitors and 2008/09 internal levels of activity.

The research development team hold approximately 3 events each year targeted at early career researchers to inform them about funding opportunities and to assist in raising the quality of the applications submitted. These events are well attended and usually attract over 40 people per event. In 2009-10 the programme of ECR events was complemented by a post-doctoral seminar series with speakers from the CAS post-doctoral bursary award holders.

British Academy post-doctoral fellowships - In 2008-09 and 2009-10 the team supported British Academy post-doctoral applications resulting in 2 applications being shortlisted each year but were ultimately unsuccessful. No comparative figures for the Russell Group in these years are currently available from the British Academy.

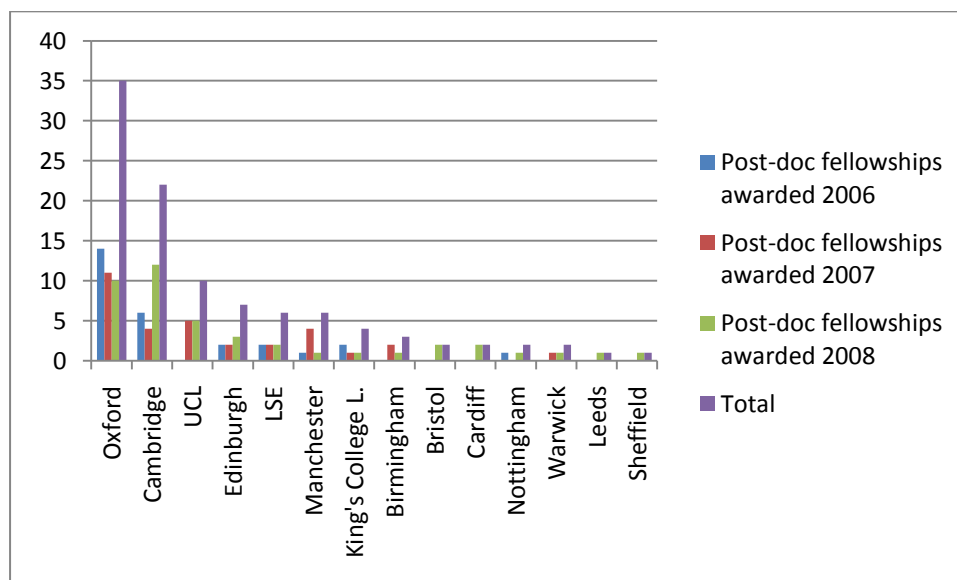
Success rates for this scheme are very low with only 45 awards made each year set against an increasing number of applicants (900 in 2010 giving a success rate

⁴ Submission pending Browne Report and Comprehensive Spending Review Oct 2010

⁵ Unsuccessful as a studentship but with an invitation to resubmit as KTP

of 5%). Statistics published by the BA for awards made in 2006, 2007 and 2008 show that Oxford, Cambridge and University College London dominate the scheme with between 5 and 15 awards made each year to each institution (see Graph 1 below).

Graph 1: number of awards given in 2006, 2007 and 2008 across the Russell Group (universities not shown where no awards were received).



ESRC-funded post-doctoral fellowships – these fellowships have also proved elusive in our area. In 2008-09 the HSSRC team supported 5 applications of which none were successful. In 2009-10 3 applications were submitted of which 2 were unsuccessful and 1 result is still pending.

Leverhulme Early Career Fellowship - In 2008-09 the HSSRC team supported 26 applications with 4 successes (15% success rate for Arts and Social Sciences-led bids).⁶ In 2009 Nottingham was joint second with Oxford in terms of the number of Leverhulme Early Career awards received (5), compared to our Russell Group competitors. Cambridge holds first position with 7 and Bristol, UCL and Warwick are joint third with 4 awards each.

In 2009-10 the team supported 38 Leverhulme Early Career Fellowship applications with 4 successes in our area (10.5% success rate). In total the University received 7 awards maintaining our position in joint 2nd place with Cambridge and Kings College London, with Warwick ahead with 8 awards.

Newton International Fellowship - The team supported 10 Newton International Fellowship applications in 2008-09 and 13 applications in 2009-10. There were no successes in 2008-09 and results for 2009-10 are still pending at time of writing. The University has received 1 Newton International Fellowship each year since the scheme began with 51 awarded nationally each year.

⁶ The 5th success for Nottingham in 2009 was from the School of Psychology and not processed by the CAS team.

Table 4 shows the total number of awards made across the University from the funders discussed above.

Table 4: Number of awards across University (2004 to present)

| | 2004 | 2005 | 2006 | 2007 | 2008 | 2009 | 2010 |
|--------------------|---------|---------|---------|------|------|------|-----------------|
| BA | 1 | 0 | 1 | 0 | 1 | 0 | 0 |
| ESRC | No info | No info | No info | 2 | 4 | 5 | Results pending |
| Leverhulme | 1 | 4 | 4 | 5 | 5 | 5 | 7 |
| Newton Fellowships | N/A | N/A | N/A | N/A | 1 | 1 | Results pending |

KPI 9) Maintain and increase the number of high profile events and distinguished visitors to Nottingham through the CAS programme of activities and increase the number and range of audiences benchmarked against the level of activities in 2008/09.

In 2008-09 the HSSRC held 22 events with over 400 attendees. In 2009-10 the CAS programme included 30 events and reached an audience of over 1,000. Three of the events were public lectures that attracted audiences from as far afield as Edinburgh and Exeter with the Luce Irigaray programme drawing in an international audience including post-graduates from China, New Zealand and the US.

CAS Events 2009-2010

| Date | Event | Outline | Speaker/s |
|---------------------------|----------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|
| 17th September | Leverhulme Lecture | Presentation on Leverhulme schemes and priorities. | Anne Dean, Assistant Director, Leverhulme Trust Introduced by Prof. Catherine Davies |
| 12th October | EU Funding Event | National Contact Point for EU funding on services, the current workplan and the FP7, Marie Curie and ERC programmes relevant to the Arts and Social Sciences. | Matt Rackley and Martin Pickard |
| 15th October | BA Postdoctoral Fellowships Event | Information and Q&A session for PhD students interested in applying for a BA postdoctoral fellowship. | Sally Bowden, Dr Paula Gurteen, Dr Kalliopi Nikita |
| 21st October | ESRC Event | An in-depth look at the ESRC, its range of funding schemes and how to successfully apply for funding. | Prof. Ron Carter and CAS team |
| 24 th November | Early Career Researchers | Early Career Researcher event for post-doctoral students on Jubilee Campus. | Rosamund Aubrey and Sue Hopcroft |
| 14th December | Impact Event | An event offering better understanding of the Impact requirements for research council applications with Q & A and session. | Prof. Catherine Davies and Prof. Steven Fielding, Sally Bowden, Sue Hopcroft and Lisa McCabe. |
| 19th Jan | Towards Pervasive Media Project Launch | A £200K fund to establish collaborations between the arts, humanities, science and engineering here at the University. | PVC Prof. Chris Rudd, Prof. Steve Benford and TPM team. |
| 26th Jan | CAS Launch Forum | Come shape the development of the Centre for Advanced Studies - all welcome. | VC Prof. David Greenaway, CAS Executive and CAS research development team |
| 2nd Feb | Early Careers Event | Information and guidance on Leverhulme early career funding applications. | Dr Paula Gurteen and Sue Hopcroft |
| 3rd Feb | CAS Advisory Group Launch meeting | The initial meeting of the Advisory Group for the Centre for Advanced Studies, representing all schools in the faculties of Arts and Social Sciences. | Prof. Judith Still |
| 22nd Feb | CAS Seminar Series - Seminar 1 | Left out – myths and perceptions of council estate life – Being St Ann's | Seminar presented by Dr Lisa McKenzie, CAS Postdoctoral Researcher |

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|-------------------|------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 22nd March | CAS Seminar Series - Seminar 2 | Foreign Bodies: Disability and Beauty in Toni Morrison's Fiction and Criticism | Seminar presented by Dr Alice Hall, CAS Postdoctoral Researcher |
| 31st March | Marie Curie Event | Guidance on the opportunities and support available to assist with applications to maximise success. | Matt Rackley, Liz French, EU administrator and Martin Pickard |
| 10th May | CAS Seminar Series - Seminar 3 | Mad from Text to Screen: <i>The Three Faces of Eve</i> , <i>The Snake Pit</i> and <i>Lizzie</i> | Seminar presented by Dr Sherah Wells, CAS Postdoctoral Researcher |
| 18th May | Highfields Lecture , in conjunction with American and Canadian Studies, Institute of Film and TV Studies and Geography | "Main Street, Carolina": Digital Tools for Cultural Heritage, from Prof. Robert Allen. Digital Humanities event | CAS Visiting Fellow - Prof. Robert Alan, James Logan Godfrey Prof. of American History and Communication Studies at the University of North Carolina -Chapel Hill |
| 19th May | The 'i' word: Impact for the social sciences | Impact for ESRC grant applications | Rosamund Aubrey and Dr Paula Gurteen |
| 26th May | Grant applications and research methods | A seminar on improving research methods in academic research applications. | Prof. Cees van der Eijk |
| 10th June | Influencing FP7 | A workshop to discuss influence of the 2012 FP7 <i>Socio-economic Sciences and Humanities Indicative Strategic Research Roadmap 2011-2013</i> work programme. | Rosamund Aubrey and Dr Paula Gurteen in conjunction with Matt Rackley and Martin Pickard |
| 16th June | 'Towards Reciprocal Exchanges with the Other(s)' | A public lecture by Prof. Luce Irigaray, <i>Centre National de la Recherche Scientifique</i> . | Introduced by Prof. Judith Still, Director of CAS |
| 21st June | CAS Seminar Series - Seminar 4 | When is a Raven like a Writing Desk? Representations of Autistic Spectrum Disorder in Cultural Texts | Seminar presented by Dr Helen Bralesford, CAS Postdoctoral Researcher |
| 29th June | CAS Annual Lecture: 'What Does it Mean to Claim that Sex, Gender and the Body are Socially Constructed' | Public lecture by Prof. Toril Moi, James B. Duke Prof. of Literature and Romance Studies at Duke University, USA. | Introduced by Prof. Judith Still, Director of CAS |
| 30th June | Highfields lecture , in conjunction with American and Canadian Studies, Institute of Film and TV Studies, Cultural Studies and Politics | Obama and the Media. | CAS Visiting Fellow - Prof. David Paletz, <i>Prof. of Political Science, Duke University</i> |
| 6th July | Highfields seminar , in conjunction with Nursing and the School of English Studies | Mental Health Services in Andalusia | CAS Visiting Fellow - Dr Javier Saavedra, <i>Department of Experimental Psychology, University of Seville</i> |
| 12th July | Highfields seminar , in conjunction with Nursing and the School of English Studies | Narratives, Identity Construction and Recovery in patients with serious mental disorders. | CAS Visiting Fellow - Dr Javier Saavedra, <i>Department of Experimental Psychology, University of Seville</i> |

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|------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------|
| 13th July | Highfields lecture , in conjunction with the China Policy Institute of the School of Contemporary Chinese Studies, and Centre for Higher Adult and Vocational Education, School of Education | The Expansion of Higher Education, Governance Reform and Social Justice in Transitional China. | CAS Visiting Fellow - Prof. Aijuan Chen, <i>Xian University of Technology, China</i> |
| 19th July | Highfields seminar , in conjunction with I-WHO and Sociology | Examining Post-traumatic Symptoms and Growth in Iraqi samples, and developing a self-help guide: a pathway to future studies in Iraq | CAS Visiting Fellow - Prof. Dr Abdulgaffar Al-Qaysi, Saad Jaber, Dr Nigel Hunt, Dr Rachel Sabin-Farrell and Prof. Stephen Joseph |
| 28th July | Highfields seminar , in conjunction with Nursing and the School of English Studies | Narrative analysis as methodology in mental health. | CAS Visiting Fellow - Dr Javier Saavedra, <i>Department of Experimental Psychology, University of Seville</i> |
| 29th July | Highfields seminar , in conjunction with School of American and Canadian Studies, Art History and Nottingham Contemporary | Resemblances and Relationships I: Art and Literature, Art History and Literary History. | CAS Visiting Fellow - Prof. Alexander Nemerov, <i>History of Art and American Studies, Yale University</i> . Chaired by Dr Mark Rawlinson. |
| 29th July | Highfields lecture , in conjunction with School of American and Canadian Studies, Art History and Nottingham Contemporary | Public Lecture - Diane Arbus and Howard Nemerov – A Resemblance, held at Nottingham Contemporary | CAS Visiting Fellow - Prof. Alexander Nemerov, Prof. of <i>History of Art and American Studies, Yale University</i> . |
| 30th July | Highfields seminar , in conjunction with School of American and Canadian Studies, Art History and Nottingham Contemporary | Resemblances and Relationships II: Diane Arbus and Howard Nemerov, Photography and Poetry, Word and Image. | CAS Visiting Fellow - Prof. Alexander Nemerov, Prof. of <i>History of Art and American Studies, Yale University</i> . Chaired by Dr John Fagg. |