

Data Description for Estimates of Ad-Valorem Equivalents (AVEs) of Non-Tariff Measures (NTMs)

March, 2018

1. Introduction

The estimates of ad-valorem equivalents (AVEs) of non-tariff measures (NTMs) reported in the data file ('ntmestimation.dta') are from Niu, Liu, Gunessee and Milner (2017), and is now available on the Nottingham Centre for Research on Globalisation and Economic Policy (GEP) website at <https://www.nottingham.ac.uk/gep/index.aspx>. A full explanation of the data sources used to estimate the product-level AVEs and the methodology used for estimation purposes is provided in Nui et al. (2017). This note provides some summary information on the data set itself: the variables available and the country and year coverage.

2. Variables description

The database contains five variables, namely **country name**, **iso3**, **year**, **hs0**, and **ave_of_ntm**. The meaning of each variable is as follows:

- **countryname:**
This is the importing country name for each observation; the reported AVE of NTMs is on the specific product imported by this country. 97 countries (developed and developing) are covered.
- **iso3**
This is the ISO3 code for each country (corresponding to the country name).
- **year**
This is the year of reported NTM implementation, at three yearly intervals ranging over the period 1997-2015, namely for 1997, 2000, 2003, 2006, 2009, 2012 and 2015.
- **hs0:**
Products are coded at the 6-digit level (5009 products) of the Harmonized System (HS) nomenclature (version 0, 1988/92).
- **ave_of_ntm**
The AVE of NTMs is the estimated tariff equivalent (expressed in coefficient form) arising from the incidence of the core NTMs as reported in UNCTAD's TRAINS (Trade Analysis Information System) and based on the MAST (Multi-Agency Support Team) classification.¹ Each observation is the estimated tariff equivalent level of protection level of core NTMs for a product imported by a country in the particular year.

¹ Core NTM refers to price control measures, quantity restriction, monopolistic measures and technical measures. These measures cover about 85% of all the NTMs implemented during the period 1997-2015.

Table 1: Variable Name, Format and Description

Variables:

| Name: | Format | Description |
|-------------|-------------------------------|---|
| countryname | 20 digit string | Country name for each observation. |
| iso3 | 3 digit string | 3 digit ISO Country Code |
| year | 4 digit number | NTM implementation year, ranging from 1997-2015, every three years |
| hs0 | 5-6 digit number | The products are coded at HS 6-digit level, version 0 (1988/92). |
| ave_of_ntm | Numeric (coefficient form) | The AVEs of NTMs for each product imported by a country in each year. |

3. Country and year coverage

The database consists AVEs of NTMs for 5009 products imported by 97 countries in specific years over the period 1997-2015. 31 countries belong to the OECD and 66 are non-OECD countries. The country-year list for available data is as follows:

| iso3 | Available year | iso3 | Available year | iso3 | Available year |
|------|--|------|--|------|--|
| AFG | 2009, 2012, 2015 | GMB | 2003, 2009, 2012, 2015 | NLD | 2003, 2006, 2009, 2012, 2015 |
| ARG | 1997, 2000, 2003, 2006, 2009, 2012, 2015 | GRC | 2003, 2006, 2009, 2012, 2015 | NPL | 2000, 2003, 2009, 2012, 2015 |
| AUS | 2003, 2006, 2009, 2012, 2015 | GTM | 1997, 2000, 2003, 2006, 2009, 2012, 2015 | NZL | 2003, 2006, 2009, 2012, 2015 |
| AUT | 2003, 2006, 2009, 2012, 2015 | HKG | 1997, 2000, 2003, 2006, 2009, 2012, 2015 | PAK | 2003, 2006, 2009, 2012, 2015 |
| BEL | 2003, 2006, 2009, 2012, 2015 | HND | 1997, 2000, 2003, 2006, 2009, 2012, 2015 | PAN | 1997, 2000, 2003, 2006, 2009, 2012, 2015 |
| BEN | 2012, 2015 | HRV | 2012, 2015 | PER | 1997, 2000, 2003, 2006, 2009, 2012, 2015 |
| BFA | 2000, 2003, 2006, 2009, 2012, 2015 | HUN | 2003, 2006, 2009, 2012, 2015 | PHL | 1997, 2000, 2003, 2006, 2009, 2012, 2015 |
| BGR | 2009, 2012, 2015 | IDN | 1997, 2000, 2003, 2006, 2009, 2012, 2015 | POL | 2003, 2006, 2009, 2012, 2015 |
| BOL | 1997, 2000, 2003, 2006, 2009, 2012, 2015 | IND | 1997, 2000, 2003, 2006, 2009, 2012, 2015 | PRT | 2003, 2006, 2009, 2012, 2015 |
| BRA | 1997, 2000, 2003, 2006, 2009, 2012, 2015 | IRL | 2003, 2006, 2009, 2012, 2015 | PRY | 1997, 2000, 2003, 2006, 2009, 2012, 2015 |
| BRN | 1997, 2000, 2003, 2006, 2012, 2015 | ISR | 2003, 2006, 2009, 2012, 2015 | ROM | 2009, 2012, 2015 |
| CAN | 2003, 2006, 2009, 2012, 2015 | ITA | 2003, 2006, 2009, 2012, 2015 | RUS | 2006, 2009, 2012, 2015 |
| CHL | 1997, 2000, 2003, 2006, 2009, 2012, 2015 | JAM | 2003, 2006, 2009, 2012 | RWA | 1997, 2000, 2003, 2006, 2009, 2012, 2015 |

| iso3 | Available year | iso3 | Available year | iso3 | Available year |
|------|--|------|--|------|--|
| CHN | 1997, 2000, 2003, 2006, 2009, 2012, 2015 | JPN | 1997, 2000, 2003, 2006, 2009, 2012, 2015 | SEN | 2000, 2003, 2006, 2009, 2012, 2015 |
| CIV | 1997, 2000, 2003, 2006, 2009, 2012, 2015 | KAZ | 2006, 2009, 2012, 2015 | SGP | 1997, 2000, 2003, 2006, 2009, 2012, 2015 |
| COL | 1997, 2000, 2003, 2006, 2009, 2012, 2015 | KHM | 2003, 2006, 2009, 2012, 2015 | SLV | 1997, 2000, 2003, 2006, 2009, 2012, 2015 |
| CPV | 2006, 2009, 2012, 2015 | KOR | 2003, 2006, 2009, 2012, 2015 | SVK | 2006, 2009, 2012, 2015 |
| CRI | 1997, 2000, 2003, 2006, 2009, 2012, 2015 | LBN | 1997, 2000, 2003, 2006, 2009, 2012, 2015 | SVN | 2003, 2006, 2009, 2012, 2015 |
| CUB | 2000, 2003, 2006. | LKA | 2000, 2003, 2006, 2009, 2012, 2015 | SWE | 2003, 2006, 2009, 2012, 2015 |
| CYP | 2003, 2006, 2009, 2012, 2015 | LTU | 2003, 2006, 2009, 2012, 2015 | TGO | 1997, 2000, 2003, 2006, 2009, 2012, 2015 |
| CZE | 2003, 2006, 2009, 2012, 2015 | LUX | 2003, 2006, 2009, 2012, 2015 | THA | 2000, 2003, 2006, 2009, 2012, 2015 |
| DEU | 2003, 2006, 2009, 2012, 2015 | LVA | 2003, 2006, 2009, 2012, 2015 | TTO | 2000, 2003, 2006, 2009, 2012. |
| DNK | 2003, 2006, 2009, 2012, 2015 | MAR | 1997, 2000, 2003, 2006, 2009, 2012, 2015 | TUN | 1997, 2000, 2003, 2006, 2009, 2012, 2015 |
| DOM | 2012, 2015 | MDG | 2000, 2003, 2006, 2009, 2012, 2015 | TUR | 1997, 2000, 2003, 2006, 2009, 2012, 2015 |
| ECU | 1997, 2000, 2003, 2006, 2009, 2012, 2015 | MEX | 1997, 2000, 2003, 2006, 2009, 2012, 2015 | TZA | 2009, 2012, 2015 |
| EGY | 1997, 2000, 2003, 2006, 2009, 2012, 2015 | MLI | 1997, 2000, 2003, 2006, 2009, 2012. | UKR | 2003, 2006, 2009, 2012, 2015 |
| ESP | 2003, 2006, 2009, 2012, 2015 | MLT | 2003, 2006, 2009, 2012, 2015 | URY | 1997, 2000, 2003, 2006, 2009, 2012, 2015 |
| EST | 2003, 2006, 2009, 2012, 2015 | MUS | 1997, 2000, 2003, 2006, 2009, 2012, 2015 | USA | 2003, 2006, 2009, 2012, 2015 |
| FIN | 2003, 2006, 2009, 2012, 2015 | MWI | 1997, 2000, 2003, 2006, 2009, 2012, 2015 | VEN | 1997, 2000, 2003, 2006, 2009, 2012, 2015 |
| FRA | 2003, 2006, 2009, 2012, 2015 | MYS | 1997, 2000, 2003, 2006, 2009, 2012, 2015 | VNM | 2006, 2009, 2012, 2015 |
| GBR | 2003, 2006, 2009, 2012, 2015 | NER | 2003, 2006, 2009, 2012, 2015 | ZAF | 2003, 2006, 2009, 2012, 2015 |
| GHA | 2000, 2003, 2006, 2009, 2012, 2015 | NGA | 2006, 2009, 2012, 2015 | | |
| GIN | 2006, 2009. | NIC | 1997, 2000, 2003, 2006, 2009, 2012, 2015 | | |

4. How to access the data

The database consists of a single Stata file with the five variables described in Section 3. The database ('ntmestimation.dta') is available on the GEP website at: <https://www.nottingham.ac.uk/gep/index.aspx>. If you have any queries regarding the information in the data please email: zhaohui.niu@nottingham.edu.cn.

References

Zhaohui Niu, Chang Liu, Saileshsingh Gunessee, Chris Milner. 2017. Non-tariff and overall protection: evidence from across countries and over time. *GEP Research Paper 2017/08*: <https://www.nottingham.ac.uk/gep/news-events/news/papers/1708.aspx>.