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Lecture 2: Preliminaries (Quantum)



• <u>Symmetry</u>: transformation $\delta \phi = X(\phi)$ such that

 $\delta \mathcal{L} = \partial_{\mu} F(\phi)$ (total derivative)

Change in Lagrangian is

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$$\delta \mathcal{L} = \frac{\partial \mathcal{L}}{\partial \phi} \delta \phi + \frac{\partial \mathcal{L}}{\partial (\partial_{\mu} \phi)} \delta (\partial_{\mu} \phi)$$

- Euler-Lagrange equations give $\delta \mathcal{L} = \partial_{\mu} \left(\frac{\partial \mathcal{L}}{\partial (\partial_{\mu} \phi)} \delta \phi \right)$ (for any variation, including $\delta \phi = X(\phi)$)
- Thus, there is conserved current:

$$\partial_{\mu}j^{\mu} = 0 \qquad j^{\mu} = \frac{\partial \mathcal{L}}{\partial(\partial_{\mu}\phi)}X(\phi) - F^{\mu}(\phi)$$



Noether's Theorem for translational invariance

 $\delta \mathcal{L}$



- Consider infinitesimal translation $x^{\mu} \rightarrow x^{\mu} + \epsilon^{\mu}$
- Change in Lagrangian is
- Euler-Lagrange equations give $\delta \mathcal{L} =$ •
- $\phi(x) \to \phi(x) \epsilon^{\mu} \partial_{\mu} \phi(x)$ Under translation

$$\mathcal{L}(x) \to \mathcal{L}(x) - \epsilon^{\mu} \partial_{\mu} \mathcal{L}(x)$$

NB Lagrangian has no explicit coordinate dependence

$$= \frac{\partial \mathcal{L}}{\partial \phi} \delta \phi + \frac{\partial \mathcal{L}}{\partial (\partial_{\mu} \phi)} \delta (\partial_{\mu} \phi)$$

$$\partial \phi \circ \phi + \partial (\partial_{\mu} \phi) \circ (\partial_{\mu} \phi)$$

$$\partial_{\mu} \left(\frac{\partial \mathcal{L}}{\partial (\partial_{\mu} \phi)} \delta \phi \right)$$

$$= \frac{\partial \mathcal{L}}{\partial \phi} \delta \phi + \frac{\partial \mathcal{L}}{\partial (\partial_{\mu} \phi)} \delta (\partial_{\mu} \phi)$$



Noether's Theorem



for translational invariance

• For invariance of action for general ϵ^{μ} find 4 conserved currents

$$(j^{\mu})_{\nu} = \frac{\partial \mathcal{L}}{\partial(\partial_{\mu}\phi)} \partial_{\nu}\phi - \delta^{\mu}_{\nu}\mathcal{L} \equiv T^{\mu}{}_{\nu}$$

- $T^{\mu}{}_{\nu}$ is the energy-momentum tensor which satisfies $\partial_{\mu}T^{\mu}{}_{\nu}=0$
- Translation symmetry gives rise to conservation of energy-momentum
- Other symmetries give other conserved currents e.g. Lorentz transformation and angular momentum



- 4 conserved quantities energy and total momentum of field $E = \int d^3x \, T^{00} \qquad P^i = \int d^3x \, T^{0i}$
- Identify T^{00} as the Hamiltonian density
- · For scalar field theory with

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$$\mathcal{L} = \frac{1}{2} \eta^{\mu\nu} \partial_{\mu} \phi \partial_{\nu} \phi - \frac{1}{2} m^2 \phi^2$$

- Energy-momentum tensor $T^{\mu\nu} = \partial^{\mu}\phi \,\partial^{\nu}\phi \eta^{\mu\nu}\mathcal{L}$
- Find conserved energy and momentum

$$E = \frac{1}{2} \int d^3x \left[\pi^2 + (\nabla \phi)^2 + m^2 \phi^2 \right] \qquad P^i = \int d^3x \, \dot{\phi} \, \partial^i \phi$$





- In quantum mechanics canonical quantization takes Hamiltonian formalism of classical mechanics to quantum theory
 - Dynamical variables such as position x_i and momentum p_i are promoted to operators
 - Poisson bracket structure of classical mechanics morphs into commutation relations
- Recall Hamilton's equations

$$\frac{\partial H}{\partial x_i} = -\dot{p}^i \,, \quad \frac{\partial H}{\partial p^i} = \dot{x_i}$$



- For observable $\dot{\mathcal{O}}(x,p) = \frac{\partial \mathcal{O}}{\partial x_i} \frac{\partial H}{\partial p^i} \frac{\partial \mathcal{O}}{\partial p^i} \frac{\partial H}{\partial x_i} = \{\mathcal{O},H\}$
- Poisson bracket $\{x_i, x_j\} = \{p^i, p^j\} = 0$ $\{x_i, p^j\} = \delta_i^j$
- Classical to quantum $\{\,,\}_{\rm classical} \rightarrow -i\,[\,,]_{\rm quantum}$
- Commutation relations

$$[\hat{x}_i, \hat{x}_j] = [\hat{p}^i, \hat{p}^j] = 0 \quad [\hat{x}_i, \hat{p}^j] = i\delta_i^j$$

- In field theory will do the same for field $\,\phi(x)\,$ and momentum conjugate $\,\pi(x)\,$
- Will first do this in the *Schrödinger* picture. In *Heisenberg* picture these will be *equal time* commutation relations





- Physical states are encoded in state vector $|\psi\rangle$ in Hilbert space $\,\mathcal{H}\,$
- Eigenstates of an operator defined by $\hat{A}|\psi
 angle=a|\psi
 angle$
- Measurable quantities given by expectation value of Hermitian operators $\langle A \rangle = \langle \psi | \hat{A} | \psi \rangle$
- Hermiticity ensures expectation values are real
- Probability to go from state 1 to state 2 $|\langle \psi_1 | \psi_2 \rangle|^2$
- Eigenstates form a complete orthonormal basis can expand arbitrary state vector in set of eigenstates





- State vectors are functions of time, while operators are time independent
- Time evolution described by Schrödinger equation $i\frac{\partial}{\partial t}|\psi(t)\rangle=\hat{H}|\psi(t)\rangle$
- Time dependent state vector

$$|\psi(t)\rangle = e^{-i\hat{H}(t-t_0)}|\psi(t_0)\rangle$$





- State vectors regarded as constant and operators carry time dependence
- State vector defined as

$$|\psi(t)\rangle_S = e^{-i\hat{H}(t-t_0)}|\psi(t_0)\rangle_H$$

- Transformation should leave expectation values invariant
- Define Heisenberg operator

$$\hat{O}_H(t) = e^{i\hat{H}(t-t_0)}\hat{O}_S e^{-i\hat{H}(t-t_0)}$$

• Heisenberg equation of motion for operators

$$i\frac{d\hat{O}_H(t)}{dt} = \left[\hat{O}_H, \hat{H}\right]$$





- Split up Hamiltonian $\hat{H} = \hat{H}_0 + \hat{H}_{int}$
- Useful when we have small perturbations to a well-understood Hamiltonian (later \hat{H}_0 will be Hamiltonian of free field theory)
- Time dependence of operators governed by \hat{H}_0 and time dependence of states by $\hat{H}_{\rm int}$
- Define

$$|\psi(t)\rangle_{I} = e^{i\hat{H}_{0}(t-t_{0})}|\psi(t)\rangle_{S}$$
$$\hat{O}_{I}(t) = e^{i\hat{H}_{0}(t-t_{0})}\hat{O}_{S}e^{-i\hat{H}_{0}(t-t_{0})}$$





Interaction Hamiltonian in interaction picture

$$\hat{H}_{I}(t) \equiv (\hat{H}_{\text{int}})_{I}(t) = e^{i\hat{H}_{0}(t-t_{0})}(\hat{H}_{\text{int}})_{S}e^{-i\hat{H}_{0}(t-t_{0})}$$

• Schrödinger equation for states

$$i\frac{\partial}{\partial t}|\psi(t)\rangle_{S} = \hat{H}_{S}|\psi(t)\rangle_{S} \implies i\frac{\partial}{\partial t}|\psi(t)\rangle_{I} = \hat{H}_{I}(t)|\psi(t)\rangle_{I}$$

 Later we will solve this equation but will have to deal with ordering issues





- General solution to Klein-Gordon equation is linear superposition of HOs, as we will see. Recall Quantum HO:
- Hamiltonian given by $\hat{H} = \frac{1}{2} \left(\frac{\hat{p}^2}{m} + m\omega^2 \hat{x}^2 \right)$
- Introduce new operators

$$\hat{a} = \frac{1}{\sqrt{2}} \left(\sqrt{m\omega} \, \hat{x} + i \sqrt{\frac{1}{m\omega}} \, \hat{p} \right) \quad \hat{a}^{\dagger} = \frac{1}{\sqrt{2}} \left(\sqrt{m\omega} \, \hat{x} - i \sqrt{\frac{1}{m\omega}} \, \hat{p} \right)$$

• Find commutation relations

$$\begin{bmatrix} \hat{a}, \hat{a}^{\dagger} \end{bmatrix} = 1 \qquad \begin{bmatrix} \hat{H}, \hat{a}^{\dagger} \end{bmatrix} = \omega \hat{a}^{\dagger} \qquad \begin{bmatrix} \hat{H}, \hat{a} \end{bmatrix} = -\omega \hat{a}$$

Harmonic Oscillator



- Rewrite Hamiltonian as $\hat{H} = \omega \left(\hat{a}^{\dagger} \hat{a} + \frac{1}{2} \right)$
- Can construct complete basis of energy eigenstates |n
 angle $\hat{H}|n
 angle=E_n|n
 angle$
- Using commutation relations $\hat{H}\hat{a}^{\dagger}|n\rangle = (E_n + \omega)\,\hat{a}^{\dagger}|n\rangle \qquad \hat{H}\hat{a}|n\rangle = (E_n - \omega)\,\hat{a}|n\rangle$
- Creation and annihilation operators, raising/lowering energy
- Define ground state by $\hat{a}|0
 angle=0$

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- Zero-point energy $\hat{H}|0\rangle = \frac{\omega}{2}|0\rangle$
- Excited states: repeated application of \hat{a}^{\dagger} on ground state



• Recall Lagrangian $\mathcal{L} = \frac{1}{2} \eta^{\mu\nu} \partial_{\mu} \phi \partial_{\nu} \phi - \frac{1}{2} m^2 \phi^2$

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- Euler-Lagrange equation then gives Klein-Gordon equation $\partial_{\mu}\partial^{\mu}\phi + m^{2}\phi = (\Box + m^{2})\phi = 0$
- Expand in Fourier modes $\phi(\mathbf{x},t) = \int \frac{d^3\mathbf{k}}{(2\pi)^3} e^{i\mathbf{k}\cdot\mathbf{x}} \phi(\mathbf{k},t)$ and notice $\phi(\mathbf{k},t)$ satisfies: $\left[\frac{\partial^2}{\partial t^2} + (\mathbf{k}^2 + m^2)\right] \phi(\mathbf{k},t) = 0$
- Infinite number of HO's with frequency $E(\mathbf{k}) = \sqrt{\mathbf{k}^2 + m^2}$ (labelled by \mathbf{k})





 Analogous to quantum mechanics promote canonical variables to be operators acting on states

$$\phi(\mathbf{x}) \to \hat{\phi}(\mathbf{x}) \quad \pi(\mathbf{x}) \to \hat{\pi}(\mathbf{x})$$

- Impose commutation relations (from Poisson brackets) $\begin{bmatrix} \hat{\phi}(\mathbf{x}), \hat{\phi}(\mathbf{y}) \end{bmatrix} = [\hat{\pi}(\mathbf{x}), \hat{\pi}(\mathbf{y})] = 0$ $\begin{bmatrix} \hat{\phi}(\mathbf{x}), \hat{\pi}(\mathbf{y}) \end{bmatrix} = i\delta^3(\mathbf{x} - \mathbf{y})$
- 3 dimensional $\,\delta$ -function as we are using fields
- Note we are in the *Schrödinger picture*: operators depend only on space, all time dependence is in the states